

Overview of the FSC Certification Requirements

This document provides an overview of normative documents that are applicable to the different types of FSC certification (FM, CoC, CW) and scope (reclaimed or controlled materials). The document helps certificate holders understand the requirements they have to comply with*.

THE DOCUMENT IS SEPARATED INTO THE FOLLOWING FOUR SECTIONS:

If you are FM or FM/CoC certified, please refer to the following section:

If you are CW-FM certified, please refer to the following section:

If you are CoC certified, please refer to the following section:

If you hold a Project certification, please refer to the following section:

FM:	Forest Management
CW-FM:	Controlled Wood for Forest Management
CoC:	Chain of Custody
Project - CoC:	Project certification

STRUCTURE OF INDIVIDUAL SECTIONS

Each section refers to a set of normative documents that are grouped thematically.

Each section also differentiates between normative documents that are applicable to all organizations (marked in the color of the section, e.g. FSC-STD-40-004 for CoC) and those that are 'optional' (marked in grey, e.g. additional requirements when certified as a group member for FM), depending on your scope of certification.

HOW YOU CAN ACCESS NORMATIVE DOCUMENTS

When you click on the link of the document title you will in most cases directly access the most recent current version of the document in the FSC [Document Centre](#). Where both the most recent version of a normative document and the older version are still valid for certification during the transition period a notification will appear. You should contact your certification body to clarify which version of the document is applicable in this case.

In the case of National Forest Stewardship Standards or Controlled Wood Risk Assessments you will need to search for the respective country to find the applicable standard.

**This document is an annex 2 to the License Agreement for the FSC Certification Scheme.*

ANNEX 2 / A: FOREST MANAGEMENT

Updated: 04/05/2023

		Document identification		FM	
Cluster	Code	Title	ALWAYS APPLICABLE	OPTIONAL: Additional requirements when certified as a group member	OPTIONAL: Additional requirements when certified for Ecosystem Services impact claims
MAIN STANDARD					
Forest Management	Various	National Forest Stewardship Standard	X		
GENERAL (NOT FM RELATED)					
Disputes Management	FSC-POL-01-004	Policy for Association	X		
Normative Framework & Processes	FSC-POL-01-007	Policy to Address Conversion	X		
Normative Framework & Processes	FSC-POL-20-005	Annual Administration Fee (AAF)	X		
Normative Framework & Processes	FSC-PRO-01-007	FSC Remedy Framework	X		
Normative Framework & Processes	FSC-PRO-01-017	Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments	X		
Disputes Management	FSC-PRO-10-004	Disclosure Requirements for Association with FSC	X		
Normative Framework & Processes	FSC-PRO-20-003	Transfer of FSC Certificates and License Agreements	X		
SCOPE OF CERTIFICATION					
Forest Management	FSC-POL-20-003	FSC Policy on the excision of areas from the scope of certification	X		
PESTICIDES USE					
Forest Management	FSC-POL-30-001	FSC Pesticides Policy	X		
Forest Management	FSC-POL-30-001a	FSC Lists of highly hazardous pesticides	X		
Forest Management	FSC-PRO-30-001a	List of approved derogations for use of 'highly hazardous'	X		

		<u>pesticides</u>			
TRANSACTION VERIFICATION & FALSE CLAIMS					
Markets & Supply Chains	FSC-PRO-10-201	<u>Transaction Verification Procedure</u>	X		
Forest Management	FSC-ADV-60-004	<u>Advice note on Transaction Verification for FM/CoC certificate holders</u>	X		
Markets & Supply Chains	FSC-PRO-10-003	<u>Calculating financial penalty/compensation fee and processing evidence for blocked organizations</u>	X		
TRADEMARK USE					
Markets & Supply Chains	FSC-STD-50-001	<u>Requirements for use of the use of the FSC trademarks by certificate holders</u>	X	X	
OPTIONAL					
Social Stakeholders	FSC-STD-30-005	<u>Forest Management Groups</u>		X	
Forest Management	FSC-PRO-30-006	<u>Ecosystem Services Procedure: Impact Demonstration and Market Tools</u>			X
Social Stakeholders	FSC-PRO-30-011	<u>Continuous Improvement Procedure</u>		X	

ANNEX 2 / B: FOREST MANAGEMENT

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 Document identification			CW-FM	
Cluster	Code	Title	ALWAYS APPLICABLE	OPTIONAL: Additional requirements when certified as a group member
MAIN STANDARD				
Controlled Wood	FSC-STD-30-010	FSC Controlled Wood standard for Forest Management Enterprises	X	
GENERAL (NOT CW-FM RELATED)				
Disputes Management	FSC-POL-01-004	Policy for the Association of Organizations with FSC	X	
Normative Framework & Processes	FSC-POL-01-007	Policy to Address Conversion	X	
Normative Framework & Processes	FSC-POL-20-005	Annual Administration Fee (AAF)	X	
Normative Framework & Processes	FSC-PRO-01-007	FSC Remedy Framework	X	
Normative Framework & Processes	FSC-PRO-01-017	Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments	X	
Disputes Management	FSC-PRO-10-004	Disclosure Requirements for Association with FSC	X	
Normative Framework & Processes	FSC-PRO-20-003	Transfer of FSC Certificates and License Agreements	X	
TRANSACTION VERIFICATION & FALSE CLAIMS				
Markets & Supply Chains	FSC-PRO-10-201	Transaction Verification Procedure	X	
Markets & Supply Chains	FSC-PRO-10-003	Calculating financial penalty/compensation fee and processing evidence for blocked organizations	X	
TRADEMARK USE				
Markets & Supply Chains	FSC-STD-50-001	Requirements for use of the use of the FSC trademarks by certificate holders	X	X
WINDTHROW				
Controlled Wood	FSC-ADV-20-008	Controlled wood and windthrow	X	
LEGAL COMPLIANCE				
Forest Management	FSC-ADV-30-010-01	Applicable National and Local Laws and Regulations for Controlled Wood for Forest Management Enterprises	X	

OPTIONAL				
Social Stakeholders	FSC-STD-30-005	<u>Forest Management Groups</u>		X

ANNEX 2 / C: CHAIN OF CUSTODY

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 Document identification			CoC			
Cluster	Code	Title	ALWAYS APPLICABLE	OPTIONAL: Additional requirements when certified as a group member/ multisite CH	OPTIONAL: Additional requirements when sourcing FSC CW	OPTIONAL: Additional requirements when sourcing reclaimed material
MAIN STANDARD						
Markets & Supply Chains	FSC-STD-40-004	<u>Chain of Custody certification</u>	X			
GENERAL (NOT COC RELATED)						
Disputes Management	FSC-POL-01-004	<u>Policy for the Association of Organizations with FSC</u>	X			
Normative Framework & Processes	FSC-POL-01-007	<u>Policy to Address Conversion</u>	X			
Normative Framework & Processes	FSC-POL-20-005	<u>Annual Administration Fee (AAF)</u>	X			
Normative Framework & Processes	FSC-PRO-01-007	<u>FSC Remedy Framework</u>	X			
Normative Framework & Processes	FSC-PRO-01-017	<u>Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments</u>	X			
Disputes Management	FSC-PRO-10-004	<u>Disclosure Requirements for Association with FSC</u>				
Normative Framework & Processes	FSC-PRO-20-003	<u>Transfer of FSC Certificates and License Agreements</u>	X			
PRODUCT CLASSIFICATION						
Markets & Supply Chains	FSC-STD-40-004a	<u>FSC Product Classification</u>	X			
TRANSACTION VERIFICATION & FALSE CLAIMS						
Markets & Supply Chains	FSC-PRO-10-201	<u>Transaction Verification Procedure</u>	X			

Markets & Supply Chains	FSC-PRO-10-003	<u>Calculating financial penalty/compensation fee and processing evidence for blocked organizations</u>	X			
TRADEMARK USE						
Markets & Supply Chains	FSC-STD-50-001	<u>Requirements for use of the use of the FSC trademarks by certificate holders</u>	X	X		
Markets & Supply Chains	FSC-ADV-50-006	<u>Requirements for use of the FSC trademarks by project certificate holders</u>				
WINDTHROW						
Controlled Wood	FSC-ADV-20-008	<u>Controlled wood and windthrow</u>			X	
DIRECTIVES						
Markets & Supply Chains	FSC-DIR-40-004	<u>FSC Directive on Chain of Custody Certification</u>	X			
Controlled Wood	FSC-DIR-40-005	<u>FSC Directive on FSC Controlled Wood</u>			X	
OPTIONAL						
Markets & Supply Chains	FSC-STD-40-003	<u>Chain of Custody Certification of Multiple Sites</u>		X		
Markets & Supply Chains	FSC-PRO-40-003a	<u>List of approved National Group Chain of Custody Eligibility Criteria</u>		X		
Controlled Wood	FSC-STD-40-005	<u>Requirements for Sourcing FSC Controlled Wood</u>			X	
Controlled Wood	Various	<u>Controlled Wood (Centralized) National Risk Assessment</u>			X	
Markets & Supply Chains	FSC-STD-40-007	<u>Sourcing reclaimed material for use in FSC Product Groups or FSC Certified Projects</u>				X
Controlled Wood	FSC-PRO-60-002a	<u>FSC National Risk Assessment Framework</u>			X	

ANNEX 2 / D: PROJECT CERTIFICATION

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 Document identification			Project			
Cluster	Code	Title	ALWAYS APPLICABLE	OPTIONAL: Additional requirements when certified as a group member/ multisite CH	OPTIONAL: Additional requirements when sourcing FSC CW	OPTIONAL: Additional requirements when sourcing reclaimed material
MAIN STANDARD						
Markets & Supply Chains	FSC-STD-40- 006	<u>FSC Standard for Project Certification</u>	X			
GENERAL (NOT PROJECT-COC RELATED)						
Disputes Management	FSC-POL-01-004	<u>Policy for the Association of Organizations with FSC</u>	X			
Normative Framework & Processes	FSC-POL-01-007	<u>Policy to Address Conversion</u>	X			
Normative Framework & Processes	FSC-POL-20-005	<u>Annual Administration Fee (AAF)</u>	X			
Normative Framework & Processes	FSC-PRO-01-007	<u>FSC Remedy Framework</u>	X			
Normative Framework & Processes	FSC-PRO-01- 017	<u>Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments</u>	X			
Disputes Management	FSC-PRO-10-004	<u>Disclosure Requirements for Association with FSC</u>	X			
Normative Framework & Processes	FSC-PRO-20- 003	<u>Transfer of FSC Certificates and License Agreements</u>	X			
PRODUCT CLASSIFICATION						
Markets & Supply Chains	FSC-STD-40- 004a	<u>FSC Product Classification</u>	X			
TRANSACTION VERIFICATION & FALSE CLAIMS						
Markets & Supply Chains	FSC-PRO-10- 201	<u>Transaction Verification Procedure</u>	X			
Markets & Supply Chains	FSC-PRO-10- 003	<u>Calculating financial penalty/compensation fee and processing evidence for blocked organizations</u>	X			

TRADEMARK USE						
Markets & Supply Chains	FSC-ADV-50-006	<u>Requirements for use of the FSC trademarks by project certificate holders</u>	X			
WINDTHROW						
Controlled Wood	FSC-ADV-20-008	<u>Controlled wood and windthrow</u>			X	
DIRECTIVES						
Controlled Wood	FSC-DIR-40-005	<u>FSC Directive on FSC Controlled Wood</u>			X	
Markets & Supply Chains	FSC-DIR-40-006	<u>FSC Directive on Project Certification</u>				
OPTIONAL						
Markets & Supply Chains	FSC-STD-40-003	<u>Chain of Custody Certification of Multiple Sites</u>	X	X		
Markets & Supply Chains	FSC-PRO-40-003a	<u>List of approved National Group Chain of Custody Eligibility Criteria</u>		X		
Controlled Wood	FSC-STD-40-005	<u>Requirements for Sourcing FSC Controlled Wood</u>			X	
Controlled Wood	FSC---	<u>Controlled Wood (Centralized) National Risk Assessment</u>			X	
Markets & Supply Chains	FSC-STD-40-007	<u>Sourcing reclaimed material for use in FSC Product Groups or FSC Certified Projects</u>				X