Overview of the FSC Certification Requirements

This document provides an overview of normative documents that are applicable to the different types of FSC certification (FM, CoC, CW /FM or CFM) and scope (reclaimed or controlled materials). The document helps certificate holders understand the requirements they have to comply with*.

THE DOCUMENT IS SEPARATED INTO THE FOLLOWING FOUR SECTIONS:

If you are FM or FM/CoC certified, please refer to the following section:

If you are CW-FM certified (now referred to as Controlled Forest Management (CFM)) certified,

please refer to the following section:

If you are CoC certified, please refer to the following section:

If you hold a Project certification, please refer to the following section:

| FM: | Forest Management |
|----------------|------------------------------|
| CFM: | Controlled Forest Management |
| CoC: | Chain of Custody |
| Project - CoC: | Project certification |

STRUCTURE OF INDIVIDUAL SECTIONS

Each section refers to a set of normative documents that are grouped thematically.

Each section also differentiates between normative documents that are applicable to all organizations (marked in the color of the section, e.g. FSC-STD-40-004 for CoC) and those that are 'optional' (marked in grey, e.g. additional requirements when certified as a group member for FM), depending on your scope of certification.

HOW YOU CAN ACCESS NORMATIVE DOCUMENTS

When you click on the link of the document title you will in most cases directly access the most recent current version of the document in the FSC <u>Document Centre</u>. Where both the most recent version of a normative document and the older version are still valid for certification during the transition period a notification will appear. You should contact your certification body to clarify which version of the document is applicable in this case.

In the case of Forest Stewardship Standards or Controlled Wood Risk Assessments you will need to search for the respective country to find the applicable standard.

*This document is an annex 2 to the License Agreement for the FSC Certification Scheme.

ANNEX 2 / A: FOREST MANAGEMENT

| | | Document identification | FM | | | |
|---------------------------------|-----------------|--|----------------------|--|---|--|
| Cluster | Code | Title | ALWAYS APPLICABLE | OPTIONAL: Additional requirements when certified as a group member | OPTIONAL: Additional requirements when certified for Ecosystem Services impact claims | |
| MAIN STANDARD | | | | | | |
| Forest Management | Various | Forest Stewardship Standard_ | X | | | |
| GENERAL (NOT FM RI | ELATED) | | | | | |
| Disputes Management | FSC-POL-01-004 | Policy for Association | X | | | |
| Normative Framework & Processes | FSC-POL-01-007 | Policy to Address Conversion | X | | | |
| Normative Framework & Processes | FSC-POL-20-005 | Annual Administration Fee (AAF) | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-004 | FSC Remedy Framework | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-007 | FSC Remedy Framework | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-017 | Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments | Х | | | |
| Disputes Management | FSC-PRO-10-004 | Disclosure Requirements for Association with FSC | X | | | |
| Normative Framework & Processes | FSC-PRO-20-003 | Transfer of FSC Certificates and License Agreements | Х | | | |
| SCOPE OF CERTIFICA | ATION | | | | | |
| Forest Management | FSC-POL-20-003 | FSC Policy on the excision of areas from the scope of certification | Х | | | |
| PESTICIDES USE | | | | | | |
| Forest Management | | FSC Pesticides Policy | Х | | | |
| Forest Management | FSC-POL-30-001a | FSC Lists of highly hazardous pesticides | Х | | | |
| Forest Management | FSC-PRO-30-001a | List of approved derogations for use of 'highly hazardous' | X | | | |

| | | pesticides | | | |
|----------------------------|-----------------|--|---|---|---|
| TRANSACTION VERIF | ICATION & FALSE | CLAIMS | | | |
| Markets & Supply Chains | FSC-PRO-10-201 | <u>Transaction Verification Procedure</u> | Х | | |
| Forest Management | FSC-ADV-60-004 | Advice note on Transaction Verification for FM/CoC certificate holders | Х | | |
| Markets & Supply Chains | -FSC-PRO-10-003 | Calculating financial penalty/compensation fee and processing evidence for blocked organizations | Х | | |
| TRADEMARK USE | | | | | |
| Markets & Supply Chains | FSC-STD-50-001 | Requirements for use of the FSC® trademarks by certificate holders | Х | Х | |
| DIRECTIVES | | | | | |
| Forest Management | FSC-DIR-20-007 | FSC Directive on Forest Management Evaluations | Х | | |
| OPTIONAL | | | | | |
| Regulatory Framework | FSC-STD-01-004 | FSC Regulatory Module | Х | | |
| Social Stakeholders | FSC-STD-30-005 | Forest Management Groups | | X | |
| Forest Management | FSC-PRO-30-006 | Ecosystem Services Procedure: Impact Demonstration and Market Tools | | | X |
| Social Stakeholders | FSC-PRO-30-011 | Continuous Improvement Procedure | | X | |

ANNEX 2 / B: CONTROLLED FOREST MANAGEMENT

| ^ | | Document identification | CFM | / CW-FM |
|---|----------------|--|----------------------|--|
| Cluster | Code | Title | ALWAYS APPLICABLE | OPTIONAL: Additional requirements when certified as a group member |
| MAIN STANDARD | | | | |
| Controlled Forest Management | FSC-STD-30-010 | Controlled Forest Management | X | |
| GENERAL (NOT CFM /C) | , | | | |
| Disputes Management | FSC-POL-01-004 | Policy for Association | X | |
| Normative Framework & Processes | FSC-POL-01-007 | Policy to Address Conversion | X | |
| Normative Framework & Processes | FSC-POL-20-005 | Annual Administration Fee (AAF) | X | |
| Normative Framework & Processes | FSC-PRO-01-004 | FSC Remedy Framework | X | |
| Normative Framework & Processes | FSC-PRO-01-007 | FSC Remedy Framework | X | |
| Normative Framework & Processes | FSC-PRO-01-017 | Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments | X | |
| Disputes Management | FSC-PRO-10-004 | Disclosure Requirements for Association with FSC | X | |
| Normative Framework & Processes TRANSACTION VERIFIC | | Transfer of FSC Certificates and License Agreements | X | |
| Markets & Supply Chains | FSC-PRO-10-201 | Transaction Verification Procedure | X | |
| Markets & Supply Chains | FSC-PRO-10-003 | Calculating financial penalty/compensation fee and processing evidence for blocked organizations | X | |
| TRADEMARK USE | | | | |
| Markets & Supply Chains | FSC-STD-50-001 | Requirements for use of the FSC® trademarks by certificate holders | X | X |
| WINDTHROW | | | | |

| Controlled Forest Management | FSC-ADV-20-008 | Controlled wood and windthrow | X | |
|---------------------------------|-------------------|--|---|---|
| LEGAL COMPLIANCE | | | | |
| Forest Management | FSC-ADV-30-010-01 | Applicable National and Local Laws and Regulations for Controlled Wood for Forest Management Enterprises | X | |
| DIRECTIVES | | | | |
| Forest Management | FSC-DIR-20-007 | FSC Directive on Forest Management Evaluations | Х | |
| OPTIONAL | | | | |
| Regulatory Framework | FSC-STD-01-004 | FSC Regulatory Module | X | |
| Social Stakeholders | FSC-STD-30-005 | Forest Management Groups | | X |

ANNEX 2 / C: CHAIN OF CUSTODY

| Document identification | | | CoC | | | |
|---------------------------------|-----------------|--|----------------------|--|--|--|
| Cluster | Code | Title | ALWAYS APPLICABLE | OPTIONAL: Additional requirements when certified as a group member/ multisite CH | OPTIONAL: Additional requiremen ts when sourcing FSC CW | OPTIONAL: Additional requirements when sourcing reclaimed material |
| MAIN STANDARD | | | | | | |
| Markets & Supply Chains | FSC-STD-40-004 | Chain of Custody certification | X | | | |
| GENERAL (NOT COC R | ELATED) | | | | | |
| Disputes Management | FSC-POL-01-004 | Policy for Association | Χ | | | |
| Normative Framework & Processes | FSC-POL-01-007 | Policy to Address Conversion | X | | | |
| Normative Framework & Processes | FSC-POL-20-005 | Annual Administration Fee (AAF) | X | | | |
| Normative Framework & Processes | FSC-PRO-01-004 | FSC Remedy Framework | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-007 | FSC Remedy Framework | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-017 | Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments | Х | | | |
| Disputes Management | FSC-PRO-10-004 | Disclosure Requirements for Association with FSC | | | | |
| Normative Framework & Processes | FSC-PRO-20-003 | Transfer of FSC Certificates and License Agreements | X | | | |
| PRODUCT CLASSIFICA | TION | | | | | |
| Markets & Supply Chains | FSC-STD-40-004a | FSC Product Classification | X | | | |

| TRANSACTION VERIFI | CATION & FALSE C | LAIMS | | | | |
|--|------------------|--|---|---|---|---|
| Markets & Supply Chains | FSC-PRO-10-201 | Transaction Verification Procedure | X | | | |
| Markets & Supply Chains | FSC-PRO-10-003 | Calculating financial penalty/compensation fee and processing evidence for blocked organizations | X | | | |
| TRADEMARK USE | | | | | | |
| Markets & Supply Chains | FSC-STD-50-001 | Requirements for use of the FSC® trademarks by certificate holders | Χ | Χ | | |
| WINDTHROW | | | | | | |
| Controlled Wood | FSC-ADV-20-008 | Controlled wood and windthrow | | | X | |
| DIRECTIVES | | | | | | |
| Markets & Supply Chains | FSC-DIR-40-004 | FSC Directive on Chain of Custody Certification | X | | | |
| Controlled Wood | FSC-DIR-40-005 | FSC Directive on FSC Controlled Wood | | | Х | |
| OPTIONAL | | | | | | |
| Regulatory Framework | FSC-STD-01-004 | FSC Regulatory Module | X | | | |
| Markets & Supply Chains | FSC-STD-40-003 | Chain of Custody Certification of Multiple Sites | | Х | | |
| Markets & Supply Chains | FSC-PRO-40-003a | List of approved National Group Chain of Custody Eligibility Criteria | | X | | |
| Controlled Wood | FSC-STD-40-005 | Requirements for Sourcing FSC Controlled Wood | | | Χ | |
| Controlled Wood | Various | Controlled Wood (Centralized) National Risk Assessment | | | Х | |
| Markets & Supply Chains | FSC-STD-40-007 | Sourcing reclaimed material for use in FSC Product Groups or FSC Certified Projects | | | | Х |
| Controlled Wood / Regulatory Framework | FSC-PRO-60-006b | FSC Risk Assessment Framework | | | Х | |

ANNEX 2 / D: PROJECT CERTIFICATION

| Document identification | | | Project | | | |
|---------------------------------|-----------------|--|----------------------|--|--|--|
| Cluster | Code | Title | ALWAYS APPLICABLE | OPTIONAL: Additional requirements when certified as a group member/ multisite CH | OPTIONAL: Additional requirements when sourcing FSC CW | OPTIONAL: Additional requirements when sourcing reclaimed material |
| MAIN STANDARD | | | | | | |
| Markets & Supply Chains | FSC-STD-40- 006 | FSC Standard for Project Certification | X | | | |
| GENERAL (NOT PROJ | ECT-COC RELATE | D) | | | | |
| Disputes Management | FSC-POL-01-004 | Policy for Association | X | | | |
| Normative Framework & Processes | FSC-POL-01-007 | Policy to Address Conversion | Х | | | |
| Normative Framework & Processes | FSC-POL-20-005 | Annual Administration Fee (AAF) | X | | | |
| Normative Framework & Processes | FSC-PRO-01-004 | FSC Remedy Framework | Х | | | |
| Normative Framework & Processes | FSC-PRO-01-007 | FSC Remedy Framework | X | | | |
| Normative Framework & Processes | FSC-PRO-01-017 | Participation of external observers in on-site FSC certification audits and / or ASI accreditation assessments | X | | | |
| Disputes Management | FSC-PRO-10-004 | Disclosure Requirements for Association with FSC | X | | | |
| Normative Framework & Processes | FSC-PRO-20-003 | Transfer of FSC Certificates and License Agreements | X | | | |
| PRODUCT CLASSIFIC | ATION | | | | | |
| Markets & Supply Chains | FSC-STD-40-004a | FSC Product Classification | X | | | |
| TRANSACTION VERIF | ICATION & FALSE | CLAIMS | | | | |
| Markets & Supply Chains | FSC-PRO-10-201 | <u>Transaction Verification Procedure</u> | X | | | |

| Markets & Supply Chains | FSC-PRO-10-003 | Calculating financial penalty/compensation fee and processing evidence for blocked organizations | X | | | | | | |
|----------------------------|-----------------|--|---|---|---|---|--|--|--|
| TRADEMARK USE | RADEMARK USE | | | | | | | | |
| Markets & Supply Chains | FSC-STD-50-001 | Requirements for use of the FSC® trademarks by certificate holders | Х | | | | | | |
| Markets & Supply Chains | FSC-ADV-50-006 | Requirements for use of the FSC trademarks by project certificate holders | Х | | | | | | |
| WINDTHROW | | | | | | | | | |
| Controlled Wood | FSC-ADV-20-008 | Controlled wood and windthrow | | | Χ | | | | |
| DIRECTIVES | | | | | | | | | |
| Controlled Wood | FSC-DIR-40-005 | FSC Directive on FSC Controlled Wood | | | Χ | | | | |
| Markets & Supply Chains | FSC-DIR-40-006 | FSC Directive on Project Certification | | | | | | | |
| OPTIONAL | | | | | | | | | |
| Regulatory Framework | FSC-STD-01-004 | FSC Regulatory Module | Х | | | | | | |
| Markets & Supply Chains | FSC-STD-40-003 | Chain of Custody Certification of Multiple Sites | | Х | | | | | |
| Markets & Supply Chains | FSC-PRO-40-003a | List of approved National Group Chain of Custody Eligibility Criteria | | X | | | | | |
| Controlled Wood | FSC-STD-40-005 | Requirements for Sourcing FSC Controlled Wood | | | Х | | | | |
| Controlled Wood | FSC | Controlled Wood (Centralized) National Risk Assessment | | | Х | | | | |
| Markets & Supply Chains | FSC-STD-40-007 | Sourcing reclaimed material for use in FSC Product Groups or FSC Certified Projects | | | | Х | | | |